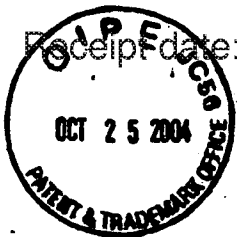


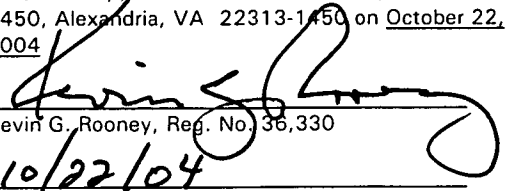
Receipt date: 10/25/2004

10689872 - GAU-3738



PATENT

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Kevin G. Rooney, Reg. No. 36,330  
10/22/04  
Date

Applicants: Edwin J. Hlavka et al.  
Ser. No.: 10/689,872  
Filed: October 21, 2003  
Group Art No.: 3764  
Examiner: Unknown  
For: METHOD AND APPARATUS FOR PERFORMING  
CATHETER-BASED ANNULOPLASTY USING LOCAL  
PLICATIONS  
Confirmation No.: 3330  
Atty Ref.: MICO-05A

Cincinnati, Ohio 45202

October 22, 2004

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of candor and good faith imposed by  
37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R.  
§§1.97 and 1.98, the references listed on the attached Form PTO-1449 are

called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application.

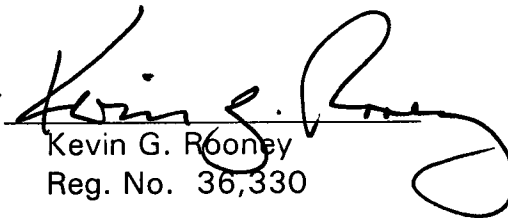
The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants believe that this communication is being mailed before a first action on the merits and, therefore, no fee is due. If this is not the case, the Commissioner is authorized to charge deposit account 23-3000 for any required fees.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY

  
Kevin G. Rooney  
Reg. No. 36,330

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Cincinnati, OH 45202  
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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MICO-05A		SERIAL NO. 10/689,872	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)				APPLICANT Edwin J. Hlavka et al.			
				FILING DATE October 21, 2003		GROUP 3764	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A						
	A.B						
	A.C						
	A.D						
	A.E						
	A.F						
	A.G						
	A.H						
	A.I						
	A.J						
	A.K						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L	WO 00/03759	1/27/2000	PCT			
	A.M	WO 00/60995	10/19/2000	PCT			
	A.N	WO/03/O01893	1/9/03	PCT			
	A.O	WO 99/00059	1/7/1999	PCT			
	A.P						
	A.Q						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R	<u>Anatomical Landscape of Heartport Technology</u> , Heartport Common Stock Prospectus, April 25, 1996, Cardiology Roundtable Interviews
A.S	F. Maisano et al., <u>The Double-Orifice Technique as a Standardized Approach to Treat Mitral Regurgitation Due to Severe Myxomatous Disease: Surgical Technique</u> , European Journal of Cardio-thoracic Surgery, 1998
A.T	Douglas P. Zipes, MD et al., <u>Ablation of Free Wall Accessory Pathways</u> , Catheter Ablation of Arrhythmias, Chapter 8, 7 pgs., 1994

EXAMINER	/Christopher Prone/	DATE CONSIDERED	08/05/2008
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EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CDP/

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MICO-05A		SERIAL NO. 10/689,872	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)  (37 CFR 1.98(b))				APPLICANT Edwin J. Hlavka et al.			
				FILING DATE October 21, 2003		GROUP 3764	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B.A						
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	B.L						
	B.M						
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	B.O						
	B.P						
	B.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	B.R	Zsolt L. Nagy et al., <u>Mitral Annuloplasty With a Suture Technique</u> , European Journal of Cardio-thoracic Surgery 18, August 15, 2000, 1 pg.					
	B.S	David L.S. Morales et al., <u>Development of an Off Bypass Mitral Valve Repair</u> , Department of Surgery, Columbia University, College of Physicians and Surgeons, New York, NY					
	B.T	<a href="http://www.hsforum.com/vol2/issue2/1999-4963 tables.html">http://www.hsforum.com/vol2/issue2/1999-4963 tables.html</a>					
EXAMINER  /Christopher Prone/				DATE CONSIDERED  08/05/2008			
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	C.B						
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	C.I						
	C.J						
	C.K						
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	C.L						
	C.M						
	C.N						
	C.O						
	C.P						
	C.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	C.R	<a href="http://www.hsforum.com/vol2/issue2/1999-4963figures.html">http://www.hsforum.com/vol2/issue2/1999-4963figures.html</a>					
	C.S	<a href="http://medtronic.com/cardiac/heartvalves/duran_band/">http://medtronic.com/cardiac/heartvalves/duran_band/</a>					
	C.T						
EXAMINER /Christopher Prone/				DATE CONSIDERED 08/05/2008			
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